



FACTA

Animal Welfare Assurance, Certification & Training

FACTA, LLC Sustainability Audit Tool and Standards

***Any major nonconformance will result in immediate failure of the audit. Audit will still be completed in its entirety, but a re-audit must occur on any major nonconformance. Anything less than the total score of 80.0% is failure of the FACTA audit. Points are not awarded on a sliding scale unless otherwise specified.**

General Sustainability Audit Section #1

Q#	Audit Tool	Verification/Guideline Process	Numerical Value
1.1.0	Is an individual responsible for Sustainability in the Company or organization?	Verify the employee and credentials. Document the name and title of the employee.	5
1.2.0	Does the company have a written program for sustainability with a clear understanding of how the program is operated throughout the company?	Document and verify that a continuous improvement plan is in place.	10
1.3.0	Does current senior management sign off on the sustainability program annually?	Document and verify. (No sliding scale, all points are awarded if criteria are followed).	10
1.4.0	Does the company have an internal auditing inspection process in place? If so, how frequently is it to be completed?	The company must have an internal auditing inspection in place at least annually. Document and verify that this is being followed consistently.	10
1.5.0	Are production areas in the company located in places that could provoke negative effects on national parks, wildlife refuges, forestry reserves, buffer zones, or any other public or private biological reserves?	Review all company locations and surroundings to such locations.	5

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1.6.0	Does the company demonstrate that waste storage and disposal do not pollute water bodies?	Verify company disposal policies and procedures.	10
1.7.0	Does the company have a written procedure in place that maximizes efficiency in irrigation?	All surface or underground water by the company must have concessions and permits from the legal or environmental authorities.	10
1.8.0	Does the company have an irrigation plan in place that the auditor can view?	Company must keep track of water usage at all sites to comply with FACTA standards.	20
1.9.0	Does the company have a certified veterinarian available for consultation as needed?	Verify veterinarian-client relationship by one of the following ways Documented letter signed by veterinarian pertaining to veterinarian-client relationship. Viewing vaccination/medication prescriptions. Veterinarian-client contract.	10
1.10.0	Does the company have a certified nutritionist available for consultation and reduce feed conversion ratios?	Verify nutritionist -client relationship by one of the following ways Documented letter signed by Nutritionist pertaining to Nutritionist -client relationship. Nutritionist-client contract.	10
1.11.0	Is the organization working with feed producers and vendors in their supply chain to address air emissions from ammonia production for fertilizer manufacturing?	Company must demonstrate ability to measure vendor air emission and ammonia production.	10
1.12.0	Are important weather parameters monitored on site, or a site-specific weather service is employed?	Pesticides are not applied when weather conditions are not appropriate. I.e. extreme rains, hurricanes, etc.	10
1.13.0	Does the company have the	Steps and improvements should be made to	10

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	ability to identify the regions where this product's feed supply is produced?	source all feed and additive supply. Yes/No	
1.14.0	Does the company have an approach to addressing impacts from feed mill energy use during feed formulation?	Company must have energy management plans in place at the feed mill that can be reviewed by a FACTA auditor and show continuous improvements.	10
1.15.0	Does the company have an approach to addressing the sourcing of feed from ecologically sensitive regions?	Company must show improvement on this issue, or that feed is not sourced from ecologically sensitive regions Ecologically Sensitive Regions include but are not limited to High Conservation Value Areas, Protected Areas, and eco regions.	5
1.16.0	Does the company have a plan in place to protect its feed formulations and milling process from pests?	Verify that a company pest management is in place at the feed mill. Integrated Pest Management is defined as an ecosystem-based strategy that focuses on long-term prevention of pests or their damage through a combination of techniques such as biological control, habitat manipulation, modification of cultural practices, and use of resistant varieties.	20
1.17.0	Does the company have a plan in place to reduce the amount of land it uses for feed and product formulation?	The company must have a plan in place to address land occupation. Land occupation is the total amount of land required for the production of a crop. Strategies to reduce land area required include optimizing yield, maintaining current agricultural lands, re-using degraded lands and other practices that minimize the need for land conversion to agriculture.	10

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1.18.0	Does the company have an approach to being involved at any level in their respective local communities?	<p>Examples of community engagement:</p> <ul style="list-style-type: none"> • Educational support. • Supporting local non-profit activities and clubs. • worker and community cultural training, • hiring local workers, • Hosting listening/learning sessions prior to and during agricultural operations. 	10
1.19.0	Does the company have a monitoring plan in place to address on-farm and processing plant water use in water-scarce regions?	Verify that a water usage plan is in place and is showing continuous improvements.	10
1.20.0	Does the company have a plan in place addressing on-farm worker health and safety during all processes and segments of the company owned operations?	<p>Company must have a continuous improvement plan in place to address on-farm and plant employee health and safety.</p> <p>Worker health and safety programs may address the use of personal protective equipment to limit pesticide exposure, as well as appropriate handling, use, and storage of pesticides and pesticide application equipment. Practices should also be specific to ergonomics, repetitive motion, and manual handling in the field.</p>	10
1.21.0	Does the company have a plan in place to address any by-product left on farm between flocks that cause higher emissions?	Verify that a plan is in place to address by product being left on farm.	10
1.22.0	Does the company adopt animal welfare standards and certifications?	Yes/no. Score of animal welfare audit must be documented.	20

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1.23.0	Does the company have a plan in place to eliminate the use of antibiotics for poultry that are critically or highly important to human health?	<p>The company must have a proactive plan in place to eliminate the use of critical antibiotics.</p> <p>The World Health Organization (WHO) published a list of antimicrobials that are critical or highly important for human Medical treatment.</p>	20
1.24.0	Does the company have a policy in place to promote fair income for all workers without discrimination?	Verify that a plan is in place within the Human Resources department.	10
1.25.0	On average, what is the feed conversion ratio of this product?	<p>Feed conversion MUST not be above 2.5:1</p> <p>Review feed conversion ratios and document that the company is within compliance.</p>	Non-Conformance
1.26.0	Does the company use practicality and good judgment when using antibiotics?	<p>Document the % of antibiotics being used during audit.</p> <p>Practical use of antibiotics implies the exclusion of preventative and sub-therapeutic use of antibiotics including growth promotion and feed efficiency. % of antibiotics used should not be above 15%.</p>	20
1.27.0	Does the company transportation operations participate in animal welfare standards and certifications?	Transportation must participate in some form of animal welfare auditing and certification.	10
1.28.0	Does the company slaughter operation participate in animal welfare standards and certifications?	Slaughter must participate in some form of animal welfare auditing and certification.	10

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1.29.0	Does the company have a plan in place to ensure continuous improvement in energy use during processing?	Document if the company has an energy improvement plan in place at the processing level.	10
1.30.0	Does the company have a plan in place to ensure continuous improvement in energy use during production of packaging materials?	Document if the company has an energy improvement plan in place at the processing level. This question covers both primary and secondary packaging.	10
1.31.0	Are live production records maintained including inputs (e.g. chemicals, fertilizers, animals, irrigation, etc.) yields, and quality?	Live Production records should be observed on farm or at the processing plant.	10
1.32.0	Does the company have a policy in place to not allow visitors on their sites without proper authorization?	The policy is verbally communicated to employees either at the time of hire or implementation.	10
1.33.0	Does the company must have a policy in place that allows and encourages employees to raise concerns, sustainability issues, fraud, or opportunities of injury to management without repercussion or even termination?	Auditor must ensure that a policy is in place within the organization.	10
1.34.0	Does the company or supervisor have a policy in writing that promotes Its workers to develop ideas for improving the workplace.	Auditor must ensure that a policy is in place within the organization.	10
1.35.0	Does the company have a written termination policy that is distributed to new	Auditor must ensure that a policy is in place within the organization. Auditor must look at the records of 3-5 employees who were	20

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	<p>hires and given to all managers? Termination of any employee must come after a documented process and progressive discipline process.</p>	<p>terminated in the last 12 months to determine the policy is being followed.</p>	
1.36.0	<p>Does the company have an affirmative action plan in place?</p>	<p>Verify that the company is following an affirmative action plan that addresses the following issues.</p> <ul style="list-style-type: none"> • Age • Race • Third party affiliation • Religion • Gender • Sexual orientation • National origin • Disability 	<p>Non-conformance</p>
1.37.0	<p>Does the company have an on-boarding or orientation program for new hire employees?</p>	<p>Auditor must ensure that a policy is in place within the organization. Auditor must look at the records of 3-5 employees who were hired in the last 12 months to determine the policy is being followed.</p> <ul style="list-style-type: none"> - New employees are given a sign off sheet acknowledging receipt of the policies. - New employees are given a sign off sheet describing job expectations. - New employees are given a sign off sheet detailing the terms of employment (pay rate, work day, and length of employment). - Employer gives some limited job training and orientation specific to the task. - Employer has an orientation checklist that is kept on file to keep a record of 	<p>10</p>

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		<p>the orientation/training activity.</p> <ul style="list-style-type: none"> - Shows educational materials such as videos, manuals, etc., for safety and/or tasks specific to the jobs. 	
1.38.0	Does the company provide workers compensation?	Yes/no.	5
1.39.0	Are all state or local legal requirements (if applicable) met for emergency management of spills, fires or other emergencies related to hazardous materials?	<p>Any spills in storage, mixing/loading or application sites are cleaned up promptly. As an indicator, spill response kits/equipment (can be as simple as absorbent materials, i.e. kitty litter) are readily available where hazardous materials are stored, mixed or used. Materials used to clean up spills are disposed of properly.</p> <p>Procedures and equipment to be used.</p> <ul style="list-style-type: none"> - Copies of complete labels and MSDS sheets of hazardous materials used. - Location of fixed storage sites. - Policies requiring training for those who work with or around hazardous materials. 	10
1.40.0	Does the company provide clean drinking water and clean restrooms with hand washing stations to employees?	<p>Visually verify that the company is providing clean drinking water and restrooms to employees.</p> <p>Document the findings.</p>	25
1.41.0	Does the company provide written documentation that guarantees and shows that no growth promoting hormones are ever used under any circumstance?	Verify feeding records and diets to ensure no growth hormones are ever used in production of live animals.	Non-conformance

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1.42.0	Has the company taken any steps to promote recycling at its facilities?	The company must show improvements in recycling annually to the auditor.	20
	General Sustainability Audit Section #1		455

Livestock Living Conditions Audit Section #2

Q#	Audit Tool	Verification/Guideline Process	Numerical Value
2.1.0	Sick birds should be treated, however, if antibiotics are used within two weeks of slaughter, birds cannot be sold as FACTA certified.	Verify.	Non-conformance
2.2.0	Does the company show any signs of natural habitat conservation?	<p>Upon inspection, the company must have made at least one improvement.</p> <p>Examples:</p> <ul style="list-style-type: none"> - Established bird perches on field edges to encourage predatory birds. - Leaves standing deadwood for birds and woodpeckers. - Built owl or bat boxes to establish predator populations. - Established native vegetation along unused areas, fencerows, etc. - Fallow fields are left with plant cover to provide food, water, and/or cover; this includes cover crops, or crop residue left on soil surface. - Uses native plants to landscape around buildings. - Limits disturbances to wildlife, especially during breeding season. - Isolated pasture is developed to a relative high succession level. 	10
2.3.0	Does the company verify Severe beak trimming is not permitted. Toe clipping and dubbing are not permitted as well?	Visually verify this is not going on at the hatchery and view birds on farm to ensure no toe clipping and extreme beak trimming is being performed.	10
2.4.0	Does the company provide documentation of daily mortality and cull chicks?	Birds must be checked daily to ensure proper health and living conditions.	20
2.5.0	Is company policy on stocking density being followed for adequate, maximum performance and health?	Calculate density based on number of birds placed and square footage. Stocking density must at least meet National Chicken Council recommended density.	20
2.6.0	Are housing facilities well	- Housing facilities are thoroughly	20

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	maintained for bird comfort and food safety?	<p>cleaned of debris (spider webs, construction debris, medical debris, etc.),</p> <ul style="list-style-type: none"> - Litter is kept in good condition and changed as needed. - Housing facilities are designed and operated to protect groundwater quality. - Housing facilities are designed to prevent injury, maintain required ambient temperature, and provide protection from predators. - Ventilation is adequate to prevent humidity, dust, and ammonia buildup. - Ammonia concentration is measured and recorded every two weeks. - Ammonia concentrations are generally below 15ppm. Ammonia concentration ranges do not exceed 25ppm except for short periods of time and/or under unusual circumstances. - The reasons for concentrations exceeding 25ppm must be documented. 	
2.7.0	Are all medication and pesticides stored in a safe location free from rodent, water, or any other environmental issue?	View where medications and rat bait are being stored.	30
2.8.0	Is there a backup power system or emergency ventilation plan in place and written documentation is provided with up-to-date verification of weekly testing? (Document and review dates).	Document the plan is being followed and review dates.	10
2.9.0	Are all legal requirements met for collection, storage, treatment and application of manure/litter?	<p>Company must be aware of legal requirements.</p> <p>Company must explain how the operation meets those requirements.</p>	10

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2.10.0	Is used litter managed to minimize risks to surface and ground water, and animal health.	<ul style="list-style-type: none"> - Storage capacity for used litter is adequate to contain all litter removed from the housing facilities when land application is not appropriate (e.g., frozen or saturated soils). - Manure is not spread within 50 ft. of surface water, or within 100 ft. of streams or wells used for domestic water supplies (or farther if required by law). 	10
2.11.0	Is Litter storage greater than 75ft from surface water (or farther if required by law/local ordinance) and not in areas subject to flooding. Storage is adequate to contain manure production when application is not appropriate?	All litter should be stored away from surface water or areas subject to flood.	10
2.12.0	<p>Are house structural integrity, biosecurity and rodent control in place to exclude broilers from predators of all kinds?</p> <p>Is there a rodent control program in place? Is there evidence of rodent infestation or rodent feces?</p>	Describe what is in place and if there is any documentation of rodent control, etc.	15
2.13.0	Is fly control being addressed as a continuous improvement area?	Is anything being done to minimize or eliminate fly's at the processing plant, feed mill, farm, and hatchery.	10
Livestock Living Conditions Audit Section #2			175

Summary Sheet and Score

Date Completed:	Audit Section:	Possible Points/Actual Points
	General Sustainability Audit Section	445/
	Livestock Living Audit Section	175/
Total Score:		620/