



# FACTA

Animal Welfare Assurance, Certification & Training

## HUMANE CERTIFIED ANIMAL WELFARE BROILER AUDIT PROGRAM

Audit Tool  
2022 Version





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## FACTA, LLC Humane Certified – Animal Welfare Audit Program – Broiler Tool



### Introduction

The Farm Animal Care Training and Auditing (“FACTA”) Humane Certified Animal Welfare Broiler Audit Program has been designed to implement acceptable animal welfare through the various stages in the production of a broiler. FACTA strives to ensure that the Humane Certified standards not only meet industry expectations, but exceed them. In order to accomplish this, FACTA’s Humane Certified Broiler Animal Welfare Audit Program is reviewed annually by our Scientific Advisory Committee (“SAC”) and is certified by the Professional Animal Auditor Certification Organization (“PAACO”). Certification with PAACO requires that FACTA’s standards meet their standards for animal welfare assessments and is reviewed annually by their SAC. In addition, FACTA audits are conducted by PAACO certified poultry animal welfare auditors.

The Humane Certified Animal Welfare Broiler Audit Program is comprised of seven sections:

- Section 1 – Hatchery Welfare Audit
- Section 2 – Pullet Animal Welfare Policies and Observations Audit
- Section 3 – Breeder Animal Welfare Policies and Observations Audit
- Section 4 – Broiler Animal Welfare Policies and Observations Audit
- Section 5 – Catching and Transportation Welfare Audit
- Section 6 – Plant and Processing Welfare Audit
- Section 7 – Corporate Review and Responsibility Audit

The auditor will award points for each standard in compliance, except for questions labeled as “major nonconformances,” which are scored on a pass or fail basis. Question numbers followed by an \* indicate questions that are production related and could lead to animal welfare issues if they are not managed correctly. Each pullet, breeder and broiler house serves as an individual audit; therefore, Sections 2 - 4 will be completed for each house audited. In the event that a company does not have a pullet or breeder operation, these sections will be marked as not applicable (“N/A”). A total of two broiler houses near the end of production will be selected for paw and gait score observations. For all houses with birds more than seven days from slaughter, gait and paw scoring questions will be marked N/A and points will be subtracted from the total points available in Section 4. Each pullet and breeder house audited will be observed for gait scores. Should one or more houses fail the audit, the entire company will not receive FACTA’s Humane Certification until a corrective action has been documented and a re-audit of the house(s) has been conducted.

## **Audit Failure Scenarios:**

### **1. The presence of a major nonconformance.**

Any major nonconformance(s) will result in the immediate failure of that audit section. The rest of the audit will still be completed in its entirety, however, a corrective action and re-audit on the section where the major nonconformance(s) occurred is required.

### **2. Willful acts of animal abuse or neglect.**

During the audit, if an animal welfare auditor witnesses a willful act of animal abuse or neglect, it will result in an immediate failure of the audit. If this occurs, and it is safe to do so, the animal welfare auditor should intervene to stop the situation and report this incident to the site representative. The audit will still be conducted in its entirety, however, a corrective action and re-audit of the section where the animal abuse or neglect was witnessed will be required. Willful animal abuse is determined by, but not limited to, hitting, kicking or other forms of malicious intent to cause harm to a bird, aggressive and consistent handling of birds by one wing, head or neck, at any time. Willful neglect is determined by, but not limited to, withholding feed, water, proper ventilation and so on such that bird health declines and is negatively affected by such practices.

### **3. Any audit section receiving a score less than 80% is an audit failure.**

Any section that receives a score of 79% or lower will require a re-audit of that section after a corrective action has been submitted and approved by FACTA. After the corrective action has been approved, a re-audit of that section will be conducted within 30 days.

## **Corrective Actions/Re-audit:**

A corrective action and re-audit are required for every failure described previously. Corrective actions must be submitted within seven days of the audit failure to FACTA. The corrective action must include, at a minimum, the details of which type of failure occurred, why it occurred and what steps the company has/will take to address the circumstance(s) that led to failure (major nonconformance(s), willful act of abuse or neglect, or a score of less than 80%). FACTA recognizes that some corrective actions may take longer than seven days to complete. FACTA may extend the timeline on corrective actions on a case by case basis. Once FACTA has approved the corrective action, a re-audit must occur within 30 days from the initial audit date, unless otherwise approved by FACTA.

Please note: Throughout the audit there are requirements for internal corrective actions. These types of corrective actions should be documented for the company's records and verified during internal or FACTA audits, but do not need to be submitted to FACTA for approval. While auditing, if the company falls below the FACTA certified standards and an internal corrective action is required, it should be provided to the auditor for their records, however, a re-audit is not required.

**Hatchery Welfare Audit – Section #1**

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
1.1.0	Is someone responsible for ensuring proper animal welfare of the chicks in the hatchery?		5	5
1.2.0	Signature of the site manager that ensures corrective action is taken when a chick’s well-being is jeopardized by injury or when animal welfare is compromised.		5	5
1.3.0	Are employees trained in chick welfare?  Are on-site workers going through an orientation program, i.e., are employees trained in chick welfare before handling live animals?  Does the hatchery have a documented chick welfare training program conducted annually for all employees involved in the handling of live animals (multilingual, if necessary; verbal translation of materials at the time of training is acceptable)?		10	10
1.4.0	Does the hatchery have a posted emergency plan?  Are emergency contacts and emergency plans posted on-site for emergencies, i.e. fire, weather and power outages?  Does the site have procedures and/or equipment available to prevent the death of animals in the event of extreme weather or a mechanical ventilation failure?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
1.5.0	Does the hatchery have an alarm system or regular monitoring program in use to alert hatchery personnel of failure of critical systems including, but not limited to, adverse temperature shifts or loss of electricity of setters and hatchers?		15	15
1.6.0	Is there a functional generator on-site?		10	10
1.6.1	Is there a documented weekly generator test in place and available for review?		5	5
1.7.0	Does the company have a written temperature range goal for the holding room in the hatchery?		10	10
1.7.1	Is the temperature at the time of the audit within the documented temperature range goal stated in 1.7.0?		5	5
1.7.2	Are the temperatures in the holding room being recorded twice daily?		5	5
1.7.3*	Does the hatchery have a written policy and documentation of calibration logs of thermostats, data loggers and/or thermometers for the holding room?  Is there evidence that corrective action is taken when temperatures fall out of the specified range of the company?		5	5
1.8.0	Observe chicks for 120 seconds during the separation process. Are any chicks injured during the takeoff procedure whether manual or mechanical separation?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
1.9.0	Does the hatchery have employees who are responsible for recording and reporting chick injuries to management?		15	15
1.9.1	Are corrective actions taken when (1.9.0) preventable injuries are reported to management?  Injuries do not include cull chicks.		5	5
1.10.0	Prior to shipping, visually observe 10 boxes of chicks (total of approximately 1,000 chicks) for evidence of equipment injuries.  Are there more than .1% of chicks with evidence of obvious severe equipment injuries?		25	25
1.10.1	Are there more than .1% of cull chicks in the shipping boxes?		25	25
1.11.0	Is the macerator working properly?  If the hatchery is not using a macerator, the auditor must observe the euthanasia practices to ensure they are effective and meet the American Veterinary Medical Association's ("AVMA") guidelines.		50	50
1.11.1	Are records confirming monthly inspection and maintenance of the macerator available for review?		5	5
1.11.2	Based on company policy, hatchery documentation or visual observation, are cull, non-viable and injured chicks euthanized every flock change or hour, whichever comes first?		5	5

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
1.12.0	Are chick processing systems designed, maintained and operated in a manner that prevents injuries to the chicks?		10	10
1.13.0	Are there any live chicks observed in the hatchery waste collection area?  If the system is enclosed and inspection is not possible, refer to 1.11.0 results.		Major nonconformance	Major nonconformance
1.14.0	Does the hatchery have a written and documented program in place to retrieve loose chicks from the floor? The standard requires a minimum of every two hours or every flock change, whichever comes first.		10	10
1.15.0	Does the hatchery have a written euthanasia protocol that adheres to the AVMA's guidelines?		20	20
1.15.1	Observe the euthanasia method to verify it is in compliance with the hatchery's protocol.		10	10
1.16.0	Is there documentation of a vaccination program with proper disinfection and calibration procedures?  If the hatchery does not perform vaccination on-site, the points are marked as N/A and taken out of the total.		5 or N/A	5 or N/A
1.17.0	Are hatcher baskets/trays in good condition to prevent injuries to the chicks?		20	20

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
1.18.0	Does the hatchery require cleaning, washing and sanitizing of specialized equipment for egg handling, incubation and hatching to protect the newly hatched chick from infectious agents or trauma from equipment after each use?		10	10
1.19.0	Is the stocking density of chicks placed in transportation boxes equal to or greater than 4.11 in <sup>2</sup> per chick? This is completed along with question 1.10.1.		5	5
1.20.0	Are chick transport boxes properly vented to allow chicks to breathe?		5	5
1.21.0*	Are transportation boxes cleaned and sanitized to prevent contamination after each delivery?		10	10
1.22.0	Does the hatchery have a licensed veterinarian available for consultation as needed?		20	20
1.23.0	Is there a written and documented temperature and ventilation protocol for chick transport?		5	5
1.24.0	Does the chick transport department have a posted emergency plan?  Are emergency contacts and emergency plans posted on-site/in trucks for emergencies such as fire, weather and power outages?		10	10



Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
1.25.0	<p>Driver/transport records are kept for each delivery that include:</p> <ul style="list-style-type: none"> <li>• Loading start and stop times/date.</li> <li>• Departure and arrival times.</li> <li>• Unloading start and stop times/date.</li> <li>• House conditions at placement.</li> </ul>		10	10
1.26.0	Do dead on arrivals (“DOA”) exceed .1% from hatchery to the grower farm in the previous 30 (working) days of hatchery production?		5	5
1.27.0	Did the auditor witness any acts of animal abuse or neglect?		Major nonconformance	Major nonconformance
	Hatchery Welfare Audit – Section #1		380	380

**Pullet Animal Welfare Policies and Observations Audit – Section #2**

*Please note: This section will be filled out for each pullet house visited.*

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
2.1.0	Is someone responsible for ensuring proper animal welfare for the pullet sites?		5	5
2.2.0	Signature of the farm worker or grower that ensures corrective action is taken when a pullet’s well-being is jeopardized by injury.		5	5
2.3.0	<p>Are contractors/employee(s), vaccination and catch crews that handle pullet flocks trained on animal welfare and handling?</p> <p>Do all on-farm employees/growers, vaccination and catch crews go through an orientation program, i.e., are employees trained in pullet welfare before handling live animals?</p> <p>Do all on-farm employees, vaccination and catch crews have a documented pullet flock welfare training program conducted annually for all employees involved in the handling of live animals (multilingual, if necessary; verbal translation of materials at the time of training is acceptable)?</p>		10	10
2.4.0	Does the company have a written euthanasia protocol that adheres to the AVMA’s guidelines?		20	20
2.4.1	Is the company’s euthanasia protocol that adheres to the AVMA’s guidelines available on-site?		5	5

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
2.4.2	Are all contractors/employees trained on methods of euthanasia in compliance with the company's protocol and the AVMA's guidelines at orientation and on an annual basis?		20	20
2.5.0	Does the pullet and breeder department have a written emergency plan that includes emergency contacts in the event of fire, weather and power outages?  Does the pullet and breeder department have procedures and/or equipment in place to prevent the death of animals in the event of extreme weather or a mechanical ventilation failure on all farms?		10	10
2.5.1	Are emergency contacts and emergency plans posted or visibly available on-site in case of fire, weather, power outages and other natural disasters?		5	5
2.6.0	Is there a functional generator on-site?		10	10
2.6.1	Is there a documented weekly generator test in place and available for review?		5	5
2.7.0	Does the company have a written lighting program?		10	10
2.7.1	Is the company's lighting program available on-farm and is it being followed?		5	5
2.8.0	Does the company have a documented written brooding program in place?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
2.8.1	Is the company's written brooding program available on-farm and is it being followed?		5	5
2.9.0	Does the company have a written maximum and minimum temperature policy based on the age of the birds?		10	10
2.9.1	Is the company's maximum and minimum temperature policy available on-site?		5	5
2.10.0	Does the company have a written biosecurity plan which covers: <ul style="list-style-type: none"> <li>• Visitor policy</li> <li>• Mortality disposal</li> <li>• Rodent management</li> <li>• Feed spills</li> </ul>		10	10
2.10.1	Is the company's biosecurity program available on-site and being followed?		5	5
2.11.0	Is the downtime between pullet flocks at least 14 days?		5	5
2.12.0	Are feed formulations approved by an animal nutritionist?		10	10
2.13.0	Is feed and water consumption recorded daily?		5	5
2.14.0	Are feeders and drinkers checked daily to ensure they are working properly and free of debris?		5	5
2.15.0	Is there a written policy on the feeding regime used during pullet rearing? Is feed and water withdrawal kept to the minimum level consistent with good processing practices?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
2.15.1	Are pullets within the targeted body weight range based on the company's feed intake policy?		10	10
2.16.0	Is the flock assessed a minimum of once daily by trained on-farm employees to identify any birds that need to be culled?		40	40
2.16.1	Are mortality and culls being checked, documented and removed from the general population at least once daily?		5	5
2.17.0	If the total mortality and culls exceed normal flock expectations, are there preventative measures being performed to reduce mortality and culls?		5	5
2.18.0	Do no more than five birds have a gait score of 2?		20	20
2.19.0	Did you observe the same injury on multiple birds throughout the flock?		15	15
2.20.0	Is the litter in the house dry and of good quality?		15	15
2.21.0	Is the ammonia level in the house at bird height below 25 ppm?  Are steps taken when this level is exceeded?		20	20
2.22.0	Are structural integrity and environmental controls set up to protect birds from extreme cold weather and heat during the growing cycle?		10	10
2.23.0	Is the facility in a good state of repair that does not pose a threat of injury to the birds?		15	15

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
2.24.0	In pullet houses, are houses stocked so that birds are still able to move freely around the houses?		20	20
2.25.0	If the company practices beak trimming, toe trimming, comb dubbing or spur removal, are there written policies on each of these applicable practices?  Are employees responsible for performing these procedures trained?		20 or N/A	20 or N/A
2.26.0	Is there a written protocol reviewed by a veterinarian that details the vaccination schedule, maintenance and disinfection of vaccination equipment?		10	10
2.27.0	Is there a written protocol detailing pullet handling techniques during vaccination?		10	10
2.28.0	Are there records of any injuries or deaths during vaccination and is the average percentage of injuries or deaths less than 1%?		10	10
2.29.0	For any pullet movements, is there a functional emergency plan posted?  Are emergency contacts and emergency plans available in trucks during transport for emergencies, i.e. fire, weather and power outages?  Does the pullet department have procedures and/or equipment to prevent the death of animals in the event of extreme weather or a mechanical failure?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
2.30.0	Is there a written program detailing the number of birds placed into each coop and handling techniques the catcher must use?		10	10
2.31.0	Does the company have a written protocol to protect pullet flocks from temperature extremes during holding, loading and transportation, and to provide the pullet flocks with adequate ventilation while moving to breeder sites?		5	5
2.32.0	Are there records of any injuries or deaths during pullet movement and is the average percentage of injuries or deaths less than 1%?  If injuries and deaths exceeded 1%, is there a corrective action available for review?  <ul style="list-style-type: none"> <li>• Yes – partial points awarded</li> <li>• No – no points awarded</li> </ul>		10	10
2.33.0	Did the auditor witness any acts of animal abuse or neglect?		Major Nonconformance	Major Nonconformance
		N/A Points		
		Possible Points	450	450
	Pullet Animal Welfare Policies and Observations Audit – Section #2		450	450

**Breeder Animal Welfare Policies and Observations Audit – Section #3**

*Please note: This section will be filled out for each breeder house visited.*

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
3.1.0	Is someone responsible for ensuring proper animal welfare for the breeder sites?		5	5
3.2.0	Signature of the farm worker or grower that ensures corrective action is taken when a breeder’s well-being is jeopardized by injury.		5	5
3.3.0	Are contractors/employee(s) and catch crews that handle breeder flocks trained on animal welfare and handling?  Do all on-farm employees/growers and catch crews go through an orientation program, i.e., are employees trained in breeder welfare before handling live animals?  Do all on-farm employees and catch crews have a documented breeder flock welfare training program conducted annually for all employees involved in the handling of live animals (multilingual, if necessary; verbal translation of materials at the time of training is acceptable)?		10	10
3.4.0	Does the company have a written euthanasia protocol that adheres to the AVMA’s guidelines?		20	20
3.4.1	Is the company’s euthanasia protocol that adheres to the AVMA’s guidelines available on-site?		5	5



Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
3.4.2	Are all contractors/employees trained on methods of euthanasia in compliance with the company's protocol and the AVMA's guidelines at orientation and on an annual basis?		20	20
3.5.0	Does the breeder department have a written emergency plan that includes emergency contacts in the event of fire, weather and power outages?  Does the breeder department have procedures and/or equipment in place to prevent the death of animals in the event of extreme weather or a mechanical ventilation failure on all farms?		10	10
3.5.1	Are emergency contacts and emergency plans posted or visibly available on-site in case of fire, weather, power outages and other natural disasters?		5	5
3.6.0	Is there a functional generator on-site?		10	10
3.6.1	Is there a documented weekly generator test in place and available for review?		5	5
3.7.0	Does the company have a written lighting program?		10	10
3.7.1	Is the company's lighting program available on-farm and is it being followed?		5	5
3.8.0	Does the company have a documented written brooding program in place?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
3.8.1	Is the company's written brooding program available on-farm and is it being followed?		5	5
3.9.0	Does the company have a written maximum and minimum temperature policy based on the age of the birds?		10	10
3.9.1	Is the company's maximum and minimum temperature policy available on-site?		5	5
3.10.0	Does the company have a written biosecurity plan which covers: <ul style="list-style-type: none"> <li>• Visitor policy</li> <li>• Mortality disposal</li> <li>• Rodent management</li> <li>• Feed spills</li> </ul>		10	10
3.10.1	Is the company's biosecurity program available on-site and being followed?		5	5
3.11.0	Is the downtime between breeder flocks at least 14 days?		5	5
3.12.0	Are feed formulations approved by an animal nutritionist?		10	10
3.13.0	Is feed and water consumption recorded daily?		5	5
3.14.0	Are feeders and drinkers checked daily to ensure they are working properly and free of debris?		5	5
3.15.0	Is there a written policy on the feeding regime used during breeding? Is feed and water withdrawal kept to the minimum level consistent with good processing practices?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
3.16.0	Is the flock assessed a minimum of once daily by trained on-farm employees to identify any birds that need to be culled?		40	40
3.17.0	Are mortality and culls being checked, documented and removed from the general population at least once daily?		5	5
3.18.0	If the total mortality and culls exceed normal flock expectations, are there preventative measures being performed to reduce mortality and culls?		5	5
3.19.0	Do no more than five birds have a gait score of 2?		20	20
3.20.0	Did you observe the same injury on multiple birds throughout the flock?		15	15
3.21.0	Is the litter in the house dry and of good quality?		15	15
3.22.0	Is the ammonia level in the house at bird height below 25 ppm?  Are steps taken when this level is exceeded?		20	20
3.23.0	Are structural integrity and environmental controls set up to protect birds from extreme cold weather and heat during the growing cycle?		10	10
3.24.0	Is the facility in a good state of repair that does not pose a threat of injury to the birds?		15	15
3.25.0	In breeder houses, are houses stocked so that birds are still able to move freely around the houses?		20	20

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
3.26.0	Are hens provided with adequate nest space?		20	20
3.26.1	Do nest boxes have a floor substrate and dividers or curtains to encourage nesting behaviors?		10	10
3.27.0	Verify the written program outlining spiking procedures. Are hens gradually introduced to replacement males in consideration for the overall health of the flock?		10	10
3.28.0	Are slats in the breeder house 24 inches high or less?		10	10
3.29.0	If the company practices beak trimming, toe trimming, comb dubbing or spur removal, are there written policies on each of these applicable practices?		20 or N/A	20 or N/A
3.30.0	For the end of cycle transportation, is there a posted functional emergency plan?  Are emergency contacts and emergency plans available in trucks during transport for emergencies, i.e. fire, weather and power outages?  Does the breeder department have procedures and/or equipment to prevent the death of animals in the event of extreme weather or a mechanical failure?		10	10
3.31.0	Is there a written program detailing the number of birds placed into each coop and handling techniques the catcher must use?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
3.32.0	Does the company have a written program to protect breeder flocks from temperature extremes during holding, loading, and transportation and provide the breeder flocks with adequate ventilation?		5	5
3.33.0	Are there records of any injuries or death during depopulation of breeder houses and is the average percentage of injury or death less than 1%?  If injuries and deaths exceeded 1%, is there a corrective action available for review?  <ul style="list-style-type: none"> <li>• Yes – partial points awarded</li> <li>• No – no points awarded</li> </ul>		20 or N/A	20 or N/A
3.34.0	Did the auditor witness any acts of animal abuse or neglect?		Major Nonconformance	Major Nonconformance
		N/A Points		
		Possible Points	470	470
	Breeder Animal Welfare Policies and Observations Audit – Section #3		470	470

**Broiler Animal Welfare Policies and Observations Audit – Section #4**

*Please note: This section will be filled out for each broiler house visited.*

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
4.1.0	Is someone responsible for ensuring proper animal welfare of the broilers during the growout period?		5	5
4.2.0	Signature of the farm worker or grower that ensures corrective action is taken when a broiler's well-being is jeopardized by injury.		5	5
4.3.0	Are contractor(s)/employee(s) that handle broiler flocks trained on animal welfare and handling?  Do all on-farm employees and growers go through an orientation program, i.e., are employees trained in broiler welfare before handling live animals?  Do on-farm employees have a documented broiler flocks welfare training program conducted annually for all employees involved in the handling of live animals (multilingual, if necessary; verbal translation of materials at the time of training is acceptable)?		10	10
4.4.0	Does the company have a written euthanasia protocol that adheres to the AVMA's guidelines?		20	20
4.4.1	Is the company's euthanasia protocol that adheres to the AVMA's guidelines available on-site?		5	5

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
4.4.2	Are all contractors/employees trained on methods of euthanasia in compliance with the company's protocol and the AVMA's guidelines at orientation and on an annual basis?		20	20
4.5.0	Does the broiler department have a written emergency plan that includes emergency contacts in the event of fire, weather and power outages?  Does the broiler department have procedures and/or equipment in place to prevent the death of animals in the event of extreme weather or a mechanical ventilation failure on all farms?		10	10
4.5.1	Are emergency contacts and emergency plans posted or visibly available on-site in case of fire, weather, power outages and other natural disasters?		5	5
4.6.0	Is there a functional generator on-site?		10	10
4.6.1	Is there a documented weekly generator test in place and available for review?		5	5
4.7.0	Does the company have a written lighting program?		10	10
4.7.1	Is the company's lighting program available on-farm and is it being followed?		5	5
4.7.2	Is light intensity a minimum of 0.5 foot candle during production?		5	5
4.8.0	Does the company have a documented written brooding program in place?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
4.8.1	Is the company's written brooding program available on-farm and is it being followed?		5	5
4.9.0	Does the company have a written maximum and minimum temperature policy based on the age of the birds?		10	10
4.9.1	Is the company's maximum and minimum temperature policy available on-site?		5	5
4.10.0	Does the company have a written biosecurity plan which covers: <ul style="list-style-type: none"> <li>• Visitor policy</li> <li>• Mortality disposal</li> <li>• Rodent management</li> <li>• Feed spills</li> </ul>		10	10
4.10.1	Is the company's biosecurity program available on-site and being followed?		5	5
4.11.0	Does the downtime between broiler flocks exceed 10 days?		5	5
4.12.0	Are feed formulations approved by an animal nutritionist?		10	10
4.13.0	Is feed and water consumption recorded daily?		5	5
4.14.0	Are feeders and drinkers checked daily to ensure they are working properly and free of debris?		5	5
4.15.0	Is feed and water withdrawal kept to the minimum level consistent with good processing practices?		5	5
4.16.0	Is the flock assessed a minimum of once daily by trained on-farm employees to identify any birds that need to be culled?		40	40



Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
4.17.0	Are mortality and culls being checked, documented and removed from the general population at least once daily?		5	5
4.18.0	If mortality and culls exceed 5% for the flock, are there preventative measures being performed to reduce the high percentage?		5	5
4.19.0	Do no more than five birds have a gait score of 2?		20 or N/A	20 or N/A
4.20.0	Did you observe the same injury on multiple birds throughout the flock?		15	15
4.21.0	View the paws of 100 birds (200 paws). Do 95% (190/200) of the paws score a 0?		20 or N/A	20 or N/A
4.22.0	Verify communication (report) between processing plant and live side (broilers) concerning plant observations, condemnations and cutouts from the previous day's harvest.		5	5
4.23.0	Is the litter in the house dry and of good quality?		15	15
4.24.0	Is the ammonia level in the house at bird height below 25 ppm?  Are steps taken when this level is exceeded?		20	20
4.25.0	Are structural integrity and environmental controls set up to protect birds from extreme cold weather and heat during the growing cycle?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
4.26.0	Is the facility in a good state of repair that does not pose a threat of injury to the birds?		15	15
4.27.0	Is enrichment provided to flocks?		5	5
4.28.0	Does the stocking density meet the NCC's guidelines?		20	20
4.29.0	Did the auditor witness any acts of animal abuse or neglect?		Major Nonconformance	Major Nonconformance
		N/A Points		
		Possible Points	385	385
	Broiler Animal Welfare Policies and Observations Audit – Section #4		385	385

**Catching and Transportation Welfare Audit – Section #5**

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
5.1.0	Is someone responsible for ensuring proper animal welfare of the birds during catch and transportation?		5	5
5.2.0	Signature of the site manager ensures that corrective action is taken when a broiler's well-being is jeopardized by injury.		5	5
5.3.0	Are employees trained in broiler welfare?  Are on-site workers going through an orientation program, i.e., are employees trained in broiler welfare before handling live animals?  Does the live haul department have a documented broiler welfare training program conducted annually for all employees involved in the handling of live animals (multilingual, if necessary; verbal translation of materials at the time of training is acceptable)?		10	10
5.4.0	Does the live haul department have a posted functional emergency plan?  Are emergency contacts and emergency plans available in trucks during transport for emergencies, i.e. fire, weather and power outages?  Does the live haul department have procedures and/or equipment to prevent the death of animals in the event of extreme weather or a mechanical failure?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
5.5.0	Observe a minimum of 10 modules being loaded to evaluate handling procedures during the catch including the number of birds carried per hand by the catchers.  If the company is using mechanical loaders, do they do so in a manner to prevent harm or injury to the broilers?		10	10
5.6.0	Are catchers placing broilers carefully into the transport coop?		10	10
5.6.1	Are coops in good working condition and properly sized for the number and weight of the birds being transported? Accidental escape during transport is a major nonconformance.		Major nonconformance	Major nonconformance
5.7.0	Is there a written program outlining steps to protect broilers from extreme weather conditions during holding, loading and transport and is it available on-site?		5	5
5.8.0	Are at least 97% of the observed coops in proper condition?		10	10
5.9.0	Is there a documented protocol to address coop maintenance, damage and repairs?		10	10
5.10.0	The previous week's broiler transport records will be examined to confirm all required information is recorded. Records must include: <ul style="list-style-type: none"> <li>• Load ID.</li> <li>• Loading start and complete time.</li> <li>• Delivery time.</li> <li>• Any emergency related issues.</li> </ul>		20	20

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
5.11.0	Is the average DOA for the previous week less than 0.5%?		20	20
5.12.0	Did the auditor witness any acts of animal abuse or neglect?		Major Nonconformance	Major Nonconformance
	Catching and Transportation Welfare Audit – Section #5		115	115

**Plant and Processing Welfare Audit – Section #6**

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
6.1.0	Is someone responsible for ensuring proper animal welfare of the birds during processing?		5	5
6.2.0	Signature of the site manager ensures corrective action is taken when a broiler's well-being is jeopardized by injury.		5	5
6.3.0	Are employees trained in broiler welfare?  Are on-site workers going through an orientation program, i.e., are employees trained in broiler welfare before handling live animals?  Does the plant have a documented broiler welfare training program conducted annually for all employees involved in the handling of live animals (multilingual, if necessary; verbal translation of materials at the time of training is acceptable)?		10	10
6.4.0	Does the plant have a posted emergency plan?  Are emergency contacts and emergency plans posted on-site for emergencies, i.e. fire, weather, and power outages?  Does the plant have procedures and/or equipment to prevent the death of animals in the event of extreme weather or a mechanical ventilation failure?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
6.5.0	Is there a written program outlining steps and temperature ranges to protect broilers from extreme weather conditions during holding and unloading? Is the program available on-site?		10	10
6.6.0	Are holding and unloading areas covered and equipped to ensure comfort and ventilation for the birds?  Are there documented maintenance records of the holding sheds' equipment?		10	10
6.7.0	Are there written procedures in place to retrieve loose birds in a timely manner (minimum of every two hours)?		5	5
6.8.0	Are holding times kept to the minimum consistent with good processing practices?		10	10
6.9.0	Are live birds observed in the DOA bin?		Major nonconformance	Major nonconformance
6.10.0	When unloading birds, are cages lifted and moved from trailers in a manner that does not injure the birds?  Additionally, are birds unloaded on the conveyor belt on top of other birds?		10 or N/A	10 or N/A
6.10.1	When birds are handled by the unloading operator or by hand are they handled properly?		10	10

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
6.11.0	Do the hanging/unloading areas have lower light levels or covers in order to keep birds calm?		5 or N/A	5 or N/A
6.12.0	At live hang, are management practices in place to minimize worker fatigue (rotation or similar practices)?		5	5
6.13.0	Is there a written protocol and documented hourly checks outlining the parameters (amperage, voltage and salt concentration) for the electrical stunning equipment?  (Except for Kosher or Halal slaughter, which have separate guidelines).  Is stunning with CO <sub>2</sub> , or other gases, proper outcome measurements known and checked each hour of operation?		20	20
6.14.0	Are appropriate measures taken to prevent wing flapping and birds raising their heads before reaching the stunning bath?		10 or N/A	10 or N/A
6.15.0	Are shackles of a size and type, and the slaughter line run at a speed, which permits the birds to be shackled properly?		5	5
6.16.0	Are broilers suspended for more than 120 seconds before they are stunned? Broilers must not be suspended for more than 120 seconds before they are stunned.		15	15
6.17.0	Is the stunning system operating effectively by stunning at least 99% of all birds in a 1,000 bird sample?		60	60



Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
6.18.0	Does more than 20 seconds elapse between stunning and neck cutting?		10	10
6.19.0	Does the facility have a backup person in place to ensure the bleed-out of all birds?		Major nonconformance	Major nonconformance
6.19.1	Does the automatic knife effectively cut blood vessels to induce bleed-out in at least 99% of birds observed in a 1,000 bird sample?		30	30
6.20.0	Are any live birds observed entering the scald in a 1,000 bird sample?		Major nonconformance	Major nonconformance
6.21.0	During a count of 500 birds leaving the de-feathering area, were there more than two bruised or broken legs observed?		10	10
6.22.0	During a count of 500 birds, were there more than 15 broken or dislocated wings observed?		25	25
6.23.0	Is there a minimum of 90 seconds of bleed time on the line before the broilers reach the first scald tank?		50	50
6.24.0	Did the auditor witness any acts of animal abuse or neglect?		Major nonconformance	Major nonconformance
	Plant and Processing Welfare Audit – Section #6		330	330

**Corporate Review and Responsibility Audit – Section #7**

Q#	Audit Tool	Verification/Guideline Process	Points Awarded	Numerical Value
7.1.0	Does the company have a written program for animal welfare with a clear understanding of how the program is operated throughout the company?		Major nonconformance	Major nonconformance
7.2.0	Does current senior management sign off on the animal welfare program annually?  Is there a review of documented operating procedures being performed annually?		Major nonconformance	Major nonconformance
7.3.0	Does the company have an internal auditing inspection process in place? If so, how frequently is it to be completed?		Major nonconformance	Major nonconformance
7.4.0	Does the company have a licensed veterinarian available for consultation as needed?		Major nonconformance	Major nonconformance
7.5.0	Does the company have a process in place whereby animal welfare violations can be reported without the threat of retaliation?		Major nonconformance	Major nonconformance
	Corporate Review and Responsibility Audit – Section #7		Pass/Fail	Pass

## Summary Sheet and Score

<b>Audit Section</b>	<b>Possible Points</b>	<b>Actual Points</b>	<b>Percentage</b>
<b>Hatchery Welfare Audit – Section #1</b>	<b>380</b>	<b>380</b>	<b>100.00%</b>
<b>Pullet Animal Welfare Policies and Observations Audit – Section #2 – Pullet House 1</b>	<b>450</b>	<b>450</b>	<b>100.00%</b>
<b>Breeder Animal Welfare Policies and Observations Audit – Section #3 – Breeder House 1</b>	<b>470</b>	<b>470</b>	<b>100.00%</b>
<b>Broiler Animal Welfare Policies and Observations Audit – Section #4 – Broiler House 1</b>	<b>385</b>	<b>385</b>	<b>100.00%</b>
<b>Catching and Transportation Welfare Audit – Section #5</b>	<b>115</b>	<b>115</b>	<b>100.00%</b>
<b>Plant and Processing Welfare Audit – Section #6</b>	<b>330</b>	<b>330</b>	<b>100.00%</b>
<b>Corporate Review and Responsibility Audit – Section #7</b>	<b>Pass/Fail</b>	<b>Pass</b>	
<b>Average Score</b>	<b>2,130</b>	<b>2,130</b>	<b>100.00%</b>

*Please note: In order for the company to be FACTA Humane Certified, each section must receive a percentage of 80% or higher and pass all major nonconformances. Sections #2 - 4 of the audit will be completed for each pullet, breeder and broiler house audited.*